



Europäisches Patentamt  
European Patent Office  
Office européen des brevets

Publication number:

**0 103 197  
A1**

12

## EUROPEAN PATENT APPLICATION

21 Application number: 83108069.2

22 Date of filing: 16.08.83

51 Int. Cl.: **C 07 C 79/46, C 07 C 101/453,  
C 07 C 149/40, C 07 C 149/41,  
C 07 D 317/50, C 07 D 325/00,  
G 03 C 1/68**

30 Priority: 18.08.82 US 409096

43 Date of publication of application: 21.03.84  
Bulletin 84/12

64 Designated Contracting States: BE DE FR GB

71 Applicant: **E.I. DU PONT DE NEMOURS AND COMPANY,**  
Legal Department 1007 Market Street, Wilmington  
Delaware 19898 (US)

72 Inventor: **Dueber, Thomas Eugene,** 312 Hampton Road,  
Wilmington Delaware 19803 (US)  
Inventor: **Nebe, William John,** 3719 Tanager Drive  
Brookmeade III, Wilmington Delaware 19808 (US)

74 Representative: **Werner, Hans-Karsten, Dr. et al,**  
Deichmannhaus am Hauptbahnhof, D-5000 Köln 1 (DE)

54 Acidic O-nitroaromatics as photoinhibitors of polymerization in positive working films.

57 A photopolymerizable coating composition comprising  
(1) a nongaseous, ethylenically unsaturated, poly-  
merizable compound,

(2) a specified acidic o-nitroaromatic compound, and

(3) an organic, radiation-sensitive, free-radical  
generating system which is useful for making a positive or  
negative polymeric image on a substrate.

**EP 0 103 197 A1**

**BEST AVAILABLE COPY**

## TITLE

ACIDIC O-NITROAROMATICS AS  
PHOTOINHIBITORS OF POLYMERIZATION  
IN POSITIVE WORKING FILMS

5

BACKGROUND OF THE INVENTION(1) Field of the Invention

This invention relates to photoinhibitors and their use in photopolymerizable compositions, and to methods of producing positive and negative polymeric images from these compositions.

10

(2) Description of the Prior Art

Recently polymeric imaging systems which provide a positive polymeric image have been developed. One such system is described by Pazos in U.S. Pat. No. 4,198,242. In this system

15

(a) a substrate is coated with a photopolymerizable composition containing

(1) a nongaseous, ethylenically unsaturated, polymerizable compound,

20

(2) 0.001 to 10 parts by weight per part of polymerizable compound of an organic, radiation-sensitive, free-radical generating system, and

25

(3) 0.004 to 0.7 parts by weight per part of polymerizable compound of a nitroaromatic compound, and

(b) the photopolymerizable coating is exposed through a process transparency to radiation, at least some of which has a wavelength less than 380 nm whereby the nitroaromatic compound is dissociated to a polymerization-inhibiting nitroso monomer in the radiation-struck areas, and

30

(c) a greater portion of the photopolymerizable coating is exposed to radiation substantially

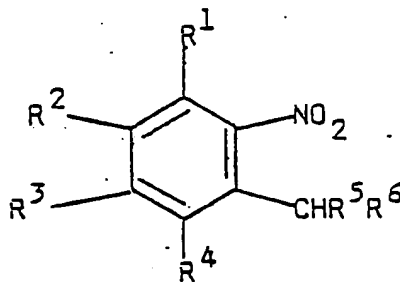
limited to wavelengths greater than 380 nm  
 whereby a positive polymeric image is formed in  
 the areas struck by the second radiation but not  
 struck by the first imagewise radiation.

Although this system can produce excellent  
 positive polymeric images, the photoinhibitor  
 generally is not soluble in aqueous coating solutions  
 and there is room for improvement in the stability of  
 the latent image during hold times between the  
 10 ultraviolet and visible exposures.

#### SUMMARY OF THE INVENTION

This invention provides a novel group of  
 photoinhibitors, along with a group of  
 photopolymerizable compositions in which the  
 15 photopolymerizable and photosensitive components  
 consist essentially of

- (a) a normally nongaseous, ethylenically unsaturated  
 compound capable of addition polymerization by  
 free-radical initiated chain propagation,
- 20 (b) about 0.004 to about 0.7 part by weight, per part  
 of component (a), of an acidic o-nitroaromatic  
 compound of the formula



30 wherein

$R^1 = R^4 = H$  or the residue of a  
 second benzene ring as defined below; and at  
 least one of  $R_2$ ,  $R_3$ ,  $R_5$  or  $R_6$  must  
 have a carboxylic acid functionality as  
 indicated below;

35  $R^2$ ,  $R^3$ , alike or different, are H,  
 OH, halogen,  $NO_2$ , alkyl of 1 to 18

carbons, alkoxy in which the alkyl is of 1  
to 18 carbons, acyloxy of 2 to 7 carbons,  
aryl of 6 to 18 carbons, benzyl,  
halogen-substituted phenyl, polyether of 2  
5 to 18 carbons and 2 to 10 oxygens,  
dialkylamino in which each alkyl is of 1 to  
18 carbons, thioalkyl in which the alkyl is  
of 1-18 carbons, thioaryl in which the aryl  
is of 6 to 18 carbons, or  $-O(CH_2)_xCO_2H$   
10 or  $(CH_2)_xCO_2H$  where  $x = 1$  to 12;  
 $R^2$  and  $R^3$ , taken together, are  
 $-OCH_2O-$  or  $-O(-CH_2CH_2O)-_q$  in which  
 $q$  is an integer from 1 to 5;  
or any two of  $R^1$ ,  $R^2$ ,  $R^3$  and  $R^4$ ,  
15 taken together, are the residue of a second  
benzene ring fused into the benzene nucleus,  
with the proviso that not more than one of  
 $R^2$ ,  $R^3$  is OH or  $NO_2$ ;  
 $R^5$  is H, a  $C_1-C_{18}$  alkyl, OH,  
20 phenyl, or alkoxy in which the alkyl is of 1  
to 18 carbons,  
 $R^6$  is H; alkyl; phenyl; alkoxy in which  
the alkyl is of 1 to 18 carbons; aryloxy of  
6 to 18 carbons, either unsubstituted or  
25 substituted with halogen,  $C_1-C_6$  alkyl,  
 $C_1-C_6$  alkoxy, or  $-COOH$ ;  
 $-O(CH_2)_xCO_2H$  where  $x=1-12$  and  $x \neq 2$  or 3  
when  $R_5$  is OH, with the proviso that only  
one of  $R^5$  and  $R^6$  is H; or  
30  $R^5$  and  $R^6$  together are  
 $=O$  or  $-O-C_2H_4-O-$ ; with the proviso that  
carboxyl is excluded on the ring containing  
the nitro group and neither  $R_5$  or  $R_6$  is  
35 carboxyl.

(c) about 0.001 to about 10 parts by weight, per part of component (a), of an organic, radiation-sensitive, free-radical generating system which is activatable by actinic radiation that does not significantly rearrange the acidic o-nitroaromatic compound to an inhibitor of free-radical polymerization.

Preferably components (a), (b) and (c), in combination, comprise about 15-100% by weight of the photopolymerizable composition with a polymeric binder (d), filler, or other adjuvants comprising 0 to 85% by weight.

Photoinhibitor compounds (b) upon UV exposure form nitroso ( $-N=O$ ) inhibitors of polymerization. Exposure in the visible (visible sensitizer or initiator is used) polymerizes the nonimaged areas, yielding positive images upon development. Advantages of the acidic o-nitroaromatic compounds of this invention as photoinhibitors are:

- a) Coating from water (dilute ammonium hydroxide) is possible, and
- b) better latent image stability is obtained than with the currently used photoinhibitor.

Positive polymeric images are produced on a substrate by the process which comprises

- (1) coating the substrate with the above photopolymerizable composition,
- (2) imagewise exposing a portion of the photopolymerizable coating through an image-bearing transparency to radiation, at least about 20% of which has a wavelength of about 200 to about 380 nm, thereby rearranging at least some of the

acidic o-nitroaromatic compound to  
polymerization-inhibiting  
nitrosoaromatic compound, and

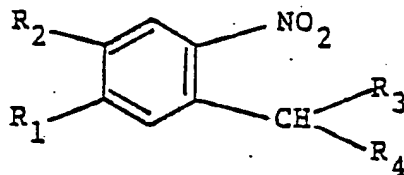
- (3) subjecting the coating to a second exposure, whereby a greater portion of the coating, including the portion exposed during the imagewise exposure, is exposed to radiation substantially limited to wavelengths greater than about 380 nm, whereby a positive polymeric image is formed in the areas exposed during the second exposure, but not exposed during the imagewise exposure. The image formed in step (3) is developed by removing the unpolymerized portion of the photopolymerizable coating in the areas exposed to the imagewise exposure radiation, or by differential adhesion of a pigment toner to the said unpolymerized portion of the coating.

Negative polymeric images are produced on a substrate by the process which comprises

- (1) coating the substrate with the above photopolymerizable composition, and
- (2) imagewise exposing a portion of the photopolymerizable coating through an image-bearing transparency to radiation substantially limited to wavelengths greater than about 380 nm, whereby a negative polymeric image is formed in the areas exposed to the radiation.

DETAILED DESCRIPTION OF THE INVENTION

In a preferred embodiment the photoinhibitor has the formula



wherein at least one of  $R_1$ ,  $R_2$ ,  $R_3$  or  $R_4$  must  
10 have a carboxyl group as indicated below;  
 $R_1$ ,  $R_2$ , alike or different, are selected  
from alkoxy in which the alkyl is of 1 to 12  
carbons, and  $-C(CH_2)_xCO_2H$  where  $x = 1$   
to 12;  
15  $R_1$  and  $R_2$ , taken together, are  $-OCH_2O-$ ;  
 $R_3$  is selected from H, lower alkyl, OH,  
and alkoxy in which the alkyl portion  
is of 1 to 6 carbons;  
 $R_4$  is aryloxy of 6 carbons substituted  
20 with carboxyl, or  $-O(CH_2)_xCO_2H$   
where  $x=2-12$ , and  $x \neq 2$  or 3 when  $R_3$  is  
OH.

The nitroso compounds formed by irradiation  
of the acidic-o-nitroaromatic compounds described  
25 herein with short wavelength radiation interfere with  
the normal free-radical induced polymerization  
process. Thus, when using the shorter wavelength  
region of the spectrum in the presence of a  
nitrosoaromatic compound, an insufficient number of  
30 initiating and propagating free radicals is  
available, and polymerization does not occur. When a  
composition of this invention is exposed to radiation  
of wavelength greater than about 380 nm, the acidic  
o-nitroaromatic compound is relatively unaffected,  
35 and the photoinitiator system operates to produce

initiating radicals. These radicals are able to effect chain propagation in the usual way and polymerization occurs.

Suitable polymerizable compounds for use as component (a) of the photopolymerizable coating compositions of this invention are normally nongaseous, ethylenically unsaturated compounds. By "normally nongaseous" is meant compounds which are not gases under atmospheric conditions. They are preferably monomeric, have a boiling point above 90°C at normal atmosphere pressure, and contain at least one terminal ethylenic group, but most preferably contain 2-5 terminal ethylenic groups.

Suitable polymerizable compounds include unsaturated esters of polyols, particularly such esters of  $\alpha$ -methylenecarboxylic acids, for example, ethylene glycol diacrylate, diethylene glycol diacrylate, glycerol diacrylate, glyceryl triacrylate, mannitol polyacrylate, sorbitol polyacrylates, ethylene glycol dimethacrylate, 1,3-propanediol dimethacrylate, 1,2,4-butanetriol trimethacrylate, 1,1,1-trimethylolpropane triacrylate, triethylene glycol diacrylate, 1,4-cyclohexanediol diacrylate, 1,4-benzenediol dimethacrylate, pentaerythritol di-, tri-, and tetramethacrylate, dipentaerythritol polyacrylate, pentaerythritol di-, tri-, and tetraacrylates, 1,3-propanediol diacrylate, 1,5-pentanediol dimethacrylate, the bis-acrylates and methacrylates of polyethylene glycols of molecular weight 200-4000, and the like; unsaturated amides, particularly those of  $\alpha$ -methylenecarboxylic acids, and especially those of  $\alpha,\omega$ -diamines and oxygen-interrupted  $\omega$ -diamines, such as methylene bis-acrylamide, methylene bis-methacrylamide, ethylene bis-methacrylamide,



1,6-hexamethylene bis-acrylamide,  
bis( $\gamma$ -methacrylamidopropoxy)-ethane and  
 $\beta$ -methacrylamidoethyl methacrylate; vinyl esters such  
as divinyl succinate, divinyl adipate, divinyl  
5 phthalate, divinyl terephthalate, divinyl  
benzene-1,3-disulfonate and divinyl  
butane-1,4-disulfonate; unsaturated aldehydes, such  
as hexadienal; and mixtures thereof.

A preferred group of the acidic  
10 o-nitroaromatic compounds of this invention are:

15

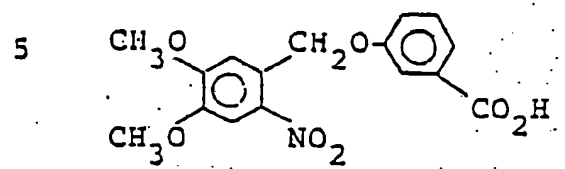
20

25

30

35

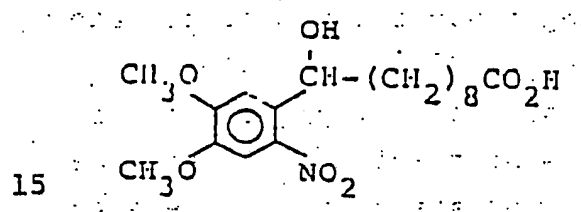
#1



2-nitro-4,5-dimethoxybenzyl-m-carboxyphenyl ether

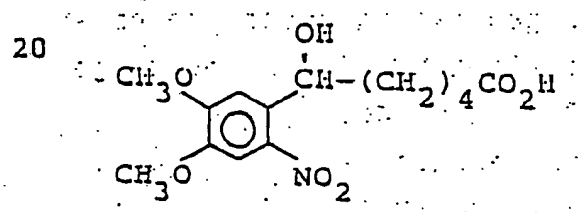
10

#2



10-(2'-nitro-4',5'-dimethoxyphenyl)-10-hydroxydecanoic acid

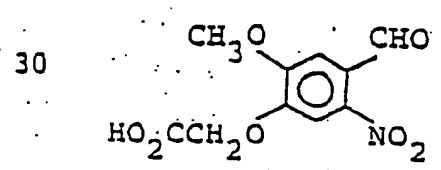
#3



6-(2'-nitro-4',5'-dimethoxyphenyl)-6-hydroxyhexanoic acid

25

#4



3-nitro-4-formyl-6-methoxyphenoxyacetic acid.

35

polyethylene terephthalate with a 2 mil knife and dried with a hot air gun. The clear layer emulsion was diluted to 10% solids with distilled water and coated on the black film with a 2 mil knife. The coating was dried with a hot air gun and for 7 min in a 95-100°C oven and a polyethylene terephthalate cover sheet was laminated thereto. The image element is spray developed at 85°F (29.4°C) with a developer composed of 840 g  $K_2CO_3$ , 50 g  $KHCO_3$  and 16 liters water in a Crona-Lite® Model II processor manufactured by E. I. du Pont de Nemours and Company, Wilmington, DE and rinsed by a 100°F (about 38.0°C) spray of water at 105 psi (7.38 kg/cm<sup>2</sup>). A UV imagewise exposure of 140 units (28 sec) was made with a 4 Kw pulsed xenon source 60 inches (152.4 cm) from the vacuum frame. This exposure was followed by a visible exposure of 1,100 units (220 sec) with a UV filter of the same composition as the one used for Examples 5-8 using the same source. The resulting image after development gave a positive image that gave excellent image quality over the tonal range of 2-98% dots, 150 lines/inch (59 lines/cm).

25

30

35

Table III

Black Pigmented Coating Solution

	<u>Aqueous Part</u>	<u>Amount</u>
5	Distilled water	116 g
	Photoinhibitor 2	2 g
	Conc. ammonium hydroxide	0.4 ml
10	Acrysol® 1-94 acrylate resin, a product of Rohm & Haas Company	43 g
	Octylphenoxy polyethoxy ethanol (MW 646) (10% aqueous solution)	6 g
	25% ammoniacal solution of a roll-milled dispersion of 45% carbon black in a terpolymer of	70 g
15	56% ethyl acrylate, 37% methyl methacrylate and 7% acrylic acid; MW <sub>w</sub> = 260,000, acid #76-85	
	A zinc complex solution prepared by dissolving 25 g ammonium carbonate in 143 g distilled water, adding 14 g zinc oxide, with stirring followed by the addition of 17 g conc. ammonium hydroxide	4 g
20		
	<u>Organic Part</u>	
25	Methylene chloride	15 g
	Tetraethylene glycol dimethacrylate	2 g
	Trimethylolpropanetriacrylate	2 g
30	3[(1-ethyl-1,2,3,4-tetrahydro-6-quinolinyl)methylene]-4-chromanone	0.2 g
	bis(2- <u>o</u> -chlorophenyl-4,5-diphenyl-imidazole)	1 g
	bis(2- <u>o</u> -chlorophenyl-4,5-bis( <u>m</u> -methoxyphenyl)-imidazole	1 g
35	1,4,4-trimethyl-2,3-diazabicyclo-[3.2.2]non-2-ene-N,N'-dioxide	0.02 g

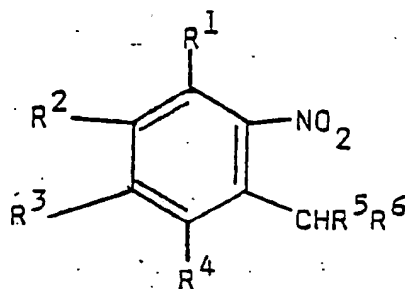
Table II

Clear Coating Solution

	<u>Aqueous Part</u>	<u>Amount</u>
5	Distilled water	132 g
	Photoinhibitor 2	2 g
	Conc. ammonium hydroxide	0.4 ml
	Acrysol® 1-94	75 g
10	Misco AC-392 polyethylene wax/water dispersion Misco Products Co., Reading, PA	20 g
	Triton® X-100 (10% aqueous solution)	6 g
15	Zinc complex solution of Table III	7 g
	<u>Organic Part</u>	
	Methylene chloride	15 g
20	Tetraethylene glycol dimethacrylate	2 g
	Trimethylolpropanetriacrylate	2 g
	3[(1-ethyl-1,2,3,4-tetrahydro-6- quinolinyl)methylene]-4-chromanone	0.2 g
25	bis(2- <u>o</u> -chlorophenyl-4,5-diphenyl- imidazole)	1 g
	bis(2- <u>o</u> -chlorophenyl-4,5-bis( <u>m</u> - methoxyphenyl)-imidazole	1 g
30	1,4,4-trimethyl-2,3-diazabicyclo- [3.2.2]non-2-ene-N,N'-dioxide	0.02 g

CLAIMS

1. An acidic o-nitroaromatic compound of the formula



wherein

R<sup>1</sup> = R<sup>4</sup> = H or the residue of a second benzene ring as defined below; and at least one of R<sub>2</sub>, R<sub>3</sub>, R<sub>5</sub> or R<sub>6</sub> must have a carboxylic acid functionality as indicated below;

R<sup>2</sup>, R<sup>3</sup>, alike or different; are H, OH, halogen, NO<sub>2</sub>, alkyl of 1 to 18 carbons, alkoxy in which the alkyl is of 1 to 18 carbons, acyloxy of 2 to 7 carbons, aryl of 6 to 18 carbons, benzyl, halogen-substituted phenyl, polyether of 2 to 18 carbons and 2 to 10 oxygens, dialkylamino in which each alkyl is of 1 to 18 carbons, thioalkyl in which the alkyl is of 6-18 carbons, thioaryl in which the aryl is of 6 to 18 carbons, or -O(CH<sub>2</sub>)<sub>x</sub>CO<sub>2</sub>H or (CH<sub>2</sub>)<sub>x</sub>CO<sub>2</sub>H where x = 1 to 12; R<sup>2</sup> and R<sup>3</sup>, taken together, are -OCH<sub>2</sub>O- or -O-(-CH<sub>2</sub>CH<sub>2</sub>O)-<sub>q</sub> in which q is an integer from 1 to 5; or any two of R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup>, taken together, are the residue of a second benzene ring fused into the benzene nucleus, with the proviso that not more than one of

$R^2, R^3$  is H or  $\text{NO}_2$ ;

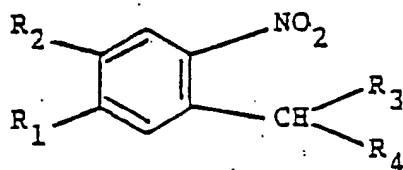
$R^5$  is H, a  $\text{C}_1\text{-C}_{18}$  alkyl, OH, phenyl, or alkoxy in which the alkyl is of 1 to 18 carbons,

5  $R^6$  is H; a  $\text{C}_1\text{-C}_{18}$  alkyl phenyl; alkoxy in which the alkyl is of 1 to 18 carbons; aryloxy of 6 to 18 carbons, either unsubstituted or substituted with halogen,  $\text{C}_1\text{-C}_6$  alkyl,  $\text{C}_1\text{-C}_6$  alkoxy, or  $-\text{COOH}$ ;  
 10  $-\text{O}(\text{CH}_2)_x\text{CO}_2\text{H}$  where  $x=1-12$  and  $x=2$  or 3 when  $R_5$  is OH, with the proviso that only one of  $R^5$  and  $R^6$  is H, or

$R^5$  and  $R^6$  together are  
 15  $=\text{O}$  or  $-\text{OC}_2\text{H}_4-\text{O}-$ ; with the proviso that carboxyl is excluded on the ring containing the nitro group and neither  $R_5$  or  $R_6$  is carboxyl.

2. The acidic o-nitroaromatic compound of claim 1 having the formula

20



25 wherein at least one of  $R_1, R_2, R_3$  or  $R_4$  must have a carboxyl group as indicated below;

$R_1, R_2$ , alike or different, are selected from alkoxy in which the alkyl is of 1 to 12 carbons, and  $-\text{O}(\text{CH}_2)_x\text{CO}_2\text{H}$  where  $x=1$  to  
 30 12;

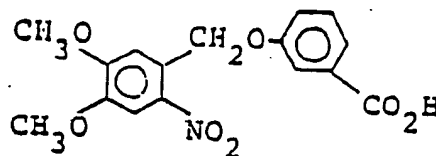
$R_1$  and  $R_2$ , taken together, are  $-\text{OCH}_2\text{O}-$ ,  
 $R_3$  is selected from H, lower alkyl, OH, and alkoxy in which the alkyl portion is of 1 to 6 carbons;

35

$R_4$  is aryloxy of 6 carbons substituted with  
carboxyl, or  $-O(CH_2)_xCO_2H$  where  $x=2$  to  
12, and  $x=2$  or 3 when  $R_3$  is OH.

3.

5



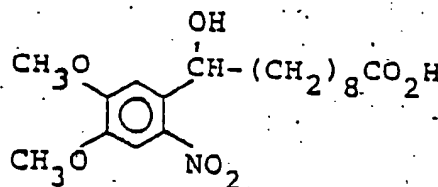
10

2-nitro-4,5-dimethoxybenzyl-m-carboxyphenyl ether

15

4.

20

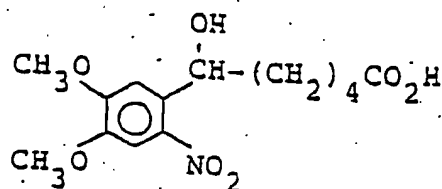


10-(2'-nitro-4',5'-dimethoxyphenyl)-10-hydroxydecanoic  
acid

25

5.

30



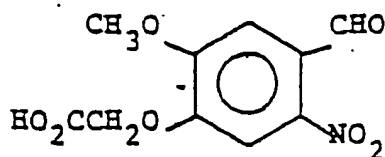
6-(2'-nitro-4',5'-dimethoxyphenyl)-6-hydroxyhexanoic  
acid

35



6.

5



3-nitro-4-formyl-6-methoxyphenoxyacetic acid

10 7. A photopolymerizable composition which consists essentially of

- (a) a normally nongaseous, ethylenically unsaturated compound capable of addition polymerization by free-radical initiated chain propagation,
- 15 (b) about 0.004 to about 0.7 parts by weight, per part of component (a), of the acidic o-nitroaromatic compound of claim 1, 2, 3, 4, 5, or 6
- (c) about 0.001 to about 10 parts by weight, per part
- 20 of component (a), of an organic, radiation-sensitive, free-radical generating system, activatable by actinic radiation that does not significantly rearrange the acidic o-nitroaromatic compound to an inhibitor of
- 25 free-radial polymerization.
- (d) 0 to 85% by weight of the total composition of a polymeric binder.

8. A photographic film composed of the composition of claim 7 coated upon a support.

30 9. A process for producing a positive polymeric image on a substrate which comprises

- (1) coating the substrate with the photopolymerizable composition of claim 7,
- 35 (2) imagewise exposing a portion of the photopolymerizable coating through an

image-bearing transparency to radiation,  
at least about 20% of which has a  
wavelength of about 200 to about 380 nm,  
thereby rearranging at least some of the  
acidic o-nitroaromatic compound to  
polymerization-inhibiting  
nitrosoaromatic compound, and

- (3) subjecting the coating to a second  
exposure whereby a greater portion of  
the coating, including the portion  
exposed during the imagewise exposure,  
is exposed to radiation substantially  
limited to wavelengths greater than  
about 380 nm, whereby a positive  
polymeric image is formed in the areas  
exposed during the second exposure, but  
not exposed during the imagewise  
exposure.

10. The process of claim 9 wherein the image  
formed in step (3) is developed by removing the  
unpolymerized portion of the photopolymerizable  
coating in the areas exposed to the imagewise  
exposure radiation, or by differential adhesion of a  
pigment toner to the said unpolymerized portion of  
the coating.

11. A process for producing negative  
polymeric images on a substrate, which comprises

- (1) coating the substrate with the  
photopolymerizable composition of  
claim 7, and  
(2) imagewise exposing a portion of the  
photopolymerizable coating through an  
image-bearing transparency to radiation  
substantially limited to wavelengths

greater than about 380 nm, whereby a negative polymeric image is formed in the areas exposed to the radiation.

12. The process of claim 11 wherein the  
5 image formed in step (2) is developed by removing the unpolymerized portion of the photopolymerizable coating in the areas exposed to the imagewise exposure radiation, or by differential adhesion of a pigment toner to the said unpolymerized portion of  
10 the coating.

15

20

25

30

35



European Patent  
Office

# EUROPEAN SEARCH REPORT

0103497 Application number

EP 83 10 8069

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 3)
A	US-A-4 199 354 (HINSHAW et al.) * Claims 1,13; columns 45-46, example 20; column 47, example 21, A-C *	1,7	C 07 C 79/46 C 07 C 101/453 C 07 C 149/40 C 07 C 149/41 C 07 D 317/50 C 07 D 325/00 G 03 C 1/68
A	--- US-A-4 160 032 (HARDTMANN) * Columns 5-6, examples 1-2 *	1	
A	--- US-A-3 226 399 (ALLEN et al.) * Columns 13-14, example 34 *	1	
			TECHNICAL FIELDS SEARCHED (Int. Cl. 7)
			C 07 C 79/46
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 25-11-1983	Examiner KLAG M. J.
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document	

The acidic o-nitroaromatic compounds are ordinarily employed in concentrations of about 0.004 to about 0.7 parts by weight per part of polymerizable compounds. The preferred amount in any specific case will depend upon the particular monomer/free-radical generating system employed. In general, the preferred amount of nitroaromatic compound is about 0.04 to about 0.15 parts by weight per part of polymerizable compound.

The third component which the photopolymerizable coating composition must contain is an organic, radiation-sensitive, free-radical generating system which initiates polymerization of the monomer and does not subsequently terminate the polymerization. The word "organic" is used here and in the claims to designate compounds which contain carbon, and one or more of oxygen, hydrogen, nitrogen, sulfur and halogen, but are free of metal.

The free-radical generating system absorbs actinic radiation with wavelengths within the range of about 200 to about 800 nm that does not significantly rearrange the acidic o-nitroaromatic compound to an inhibitor of free-radical polymerization. By "actinic radiation" is meant radiation which is operative to produce the free radicals necessary to initiate polymerization of the monomeric material. The free-radical generating system can comprise one or more compounds which directly furnish free radicals when activated by radiation. It can also comprise a plurality of compounds, one of which yields the free radicals after having been caused to do so by a sensitizer which is activated by the radiation. Preferably the free-radical generating system has at least one component having a radiation absorption band with a

molar extinction coefficient of at least about 50 within a range greater than about 380-800 nm, and more preferably about 400-600 nm.

A large number of free-radical generating compounds can be utilized in the practice of this invention including aromatic ketones, benzoin ethers, quinones and 2,4,5-triarylimidazolyl dimers; such compounds are listed in U.S. 4,198,242, supra, at column 9, line 45 to column 10, line 14, which lines are incorporated herein by reference.

A preferred group of free-radical generating systems characterized by good efficiency includes those disclosed in U.S. Patent 4,162,162 and U.S. Patent Application 271,241 filed June 8, 1981 which are incorporated herein by reference. The concentration of the free-radical generating system is about 0.001 to about 10.0 parts by weight per part of polymerizable compound, and preferably about 0.01 to about 2.0 parts by weight.

In a preferred embodiment of the invention, one or more polymeric binders are present and the polymeric binder is selected so that the unexposed photopolymerizable coating is soluble in predominantly aqueous solutions, for example dilute aqueous alkaline solutions, but upon exposure to actinic radiation becomes relatively insoluble therein. Typically, polymers which satisfy these requirements are carboxylated polymers, for example vinyl addition polymers containing free carboxylic acid groups. In a particularly preferred embodiment of this invention the photopolymerizable compositions contain aqueous processable binder or binder combinations disclosed in U.S. 4,273,857, U.S. 4,293,635 and U.S. 3,458,311 which disclosures are incorporated herein by reference.

When a monomer/binder system is employed, the amount of polymeric binder present is about 10 to about 80% by weight based on the total solids content, and preferably about 25% to about 75%.

5 Polymerizable compounds which contain only one site of ethylenic unsaturation are generally not satisfactory for use in a monomer/binder system. With certain polymers, it may be desirable to add a plasticizer to give flexibility to the resulting  
10 photopolymerizable layer and facilitate selective development.

The photopolymerizable compositions described herein may be coated on a wide variety of substrates. By "substrate" is meant any natural or  
15 synthetic support, preferably one which is capable of existing in a flexible or rigid film or sheet form. For example, the substrate could be a metal sheet or foil, a sheet or film of synthetic organic resin, cellulose paper, fiberboard, and the like, or a  
20 composite of two or more of these materials. Specific substrates include polyethylene terephthalate film, polyvinylidene chloride copolymer-coated oriented polyester film, nylon, glass, cellulose acetate film, and the like. The  
25 substrate surfaces may be treated or untreated, e.g., by flame or electrostatic discharge, and may contain one or more sub or auxiliary coatings.

The compositions of the invention are exposed to radiation of wavelength in the 200-800 nm  
30 range. Suitable sources of such radiation, in addition to sunlight, include carbon arcs, mercury-vapor arcs, fluorescent lamps, electronic flash units, photographic-flood lamps, and lasers.

During the first exposure in preparing a  
35 positive polymer image, radiation having a wavelength

of about 200 to about 380 nm is used, but it is not necessary that the wavelength be limited to this range. The radiation may have wavelengths over the entire range of about 200 to about 800 nm. In order  
5 to form an effective amount of inhibitor in the first exposure, at least about 20% of the radiation should be between about 200 and about 380 nm; and preferably at least about 30% of the radiation is within this range.

10 The radiation used during the second exposure should be substantially limited to wavelengths greater than about 380 nm, preferably about 380 to about 800 nm, and ideally about 400 to about 600 nm. During the second exposure, a greater  
15 portion of the coating, typically the entire coated area, is struck by radiation with the result that free radicals are generated and polymerization takes place in the areas struck by radiation during the second exposure but not during the first exposure.

20 Imagewise exposure, for example in preparing printing plates, is conveniently carried out by exposing a layer of the photoactive composition to radiation through a "process transparency", that is, an image-bearing transparency consisting solely of  
25 areas substantially opaque and substantially transparent to the radiation being used where the opaque areas are substantially of the same optical density; for example, a so-called line or halftone negative or positive. First exposure of a plate  
30 coated with the acidic o-nitroaromatic compound-containing photoactive composition to the full spectrum of a mercury-vapor lamp through a film negative causes rearrangement of the nitroaromatic compound to a nitrosoaromatic compound in the  
35 radiation-struck areas. These areas will become



nonimage areas since no polymerization will be initiated in these areas.

Removal of the process transparency followed by a second exposure of the plate to radiation wavelengths substantially greater than about 380 nm causes polymerization to occur in the areas which were not struck by radiation during the first exposure. Radiation of this wavelength is insufficiently absorbed by the acidic o-nitroaromatic compound to rearrange it to a nitroso compound. Development of the doubly exposed plate with solvent washout removes the unpolymerized parts of the coating, leaving behind a polymeric replica of the original.

The same compositions used for the two-exposure positive-working applications described above may also be used to form negative polymeric images by the single exposure procedure. For this application, a layer of any photopolymerizable nitroaromatic compound-containing composition described above is exposed through an image-bearing transparency to radiation substantially limited to wavelengths above about 380 nm until polymer is formed in the exposed areas. Unpolymerized portions of the photopolymerizable layer are then removed by solvent washout, or any other removal method, to leave a negative polymeric image of the pattern of the transparency employed.

The photopolymerizable compositions of this invention have the very special advantage that the imagewise exposure of the positive-working process can be carried out using polyethylene terephthalate process transparencies. This is possible because the nitroaromatic compounds are sensitive to radiation of

wavelength about 366 nm which is readily passed by polyethylene terephthalate film. Most of the previously known sources of nitroso inhibitors in positive-working photopolymerizable compositions have required activation by radiation having wavelengths below about 330 nm which is substantially screened out by polyethylene terephthalate film. Thus, the present invention is useful with the latest, and most preferred, image-bearing transparencies.

The following examples further illustrate the compositions and methods of this invention. All parts and percentages are by weight unless otherwise specified.

#### EXAMPLE 1

##### Preparation of 2-nitro-4,5-dimethoxybenzyl alcohol

1011 g of 2-nitro-4,5-dimethoxybenzaldehyde in 10 l of ethanol with 94.8 ml water added was stirred, and a solution of 57 g sodium borohydride in 2 l absolute ethanol was added over 1 hour. The mixture was heated to 40°C for 2.5 hours, cooled to 5°C and the mixture filtered. The solid was washed with 4 l of cold 28 alcohol (denatured ethanol) and 8 l cold water, and dried in a 50°C vacuum oven to yield 570 g, 56.5% yield.

##### Preparation of 2-nitro-4,5-dimethoxybenzyl chloride

570 g of 2-nitro-4,5-dimethoxybenzyl alcohol was added over 1 hour to 733 ml of thionyl chloride at 0°C. The solution was heated to 25°C over 2 hours and to 50-56°C for 2 hours. The solution was cooled to 5°C and the mixture was poured onto 12 l of ice water with stirring. The supernatant was poured off and the solid washed with water. The oily solid was blended with ice and water, filtered, and dried in a 40°C vacuum oven to yield 535 g, 86.4% yield.

Preparation of 2-nitro-4,5-dimethoxybenzyl-m-  
carboxylphenyl ether

---

3 g m-hydroxybenzoic acid was added to a solution of 2.33 g sodium methoxide in 30 ml ethanol with stirring. After 10 minutes 5 g of benzyl chloride was added over 5 minutes, followed by 45 ml of absolute ethanol and the mixture heated to reflux at 78°C for 4 hours. The reaction mixture was filtered hot. The solid was taken up in 500 ml water, acidified with 10% aqueous hydrochloric acid, and the solid collected by filtration, washed with hot water, and the yellow solid recrystallized from 300 ml of absolute ethanol to yield 2.6 g of product.

Example 2

Preparation of 10-(3',4'-dimethoxyphenyl)-  
decanoic-10-one acid

---

To 67 g of veratrole in 230 ml 1,1,2,2-tetrachloroethane (TCE) and 70 ml nitrobenzene was added, with stirring under nitrogen 147 g of anhydrous aluminum chloride followed by the addition of a solution of 90 g polysebacic anhydride in 270 ml TCE over 35 minutes at 0°C. The reaction mixture was stirred in an ice bath for 4 hours and allowed to stand overnight. The viscous red mass was added to a stirred solution of 170 ml water, 117 ml conc. HCl, and 500 g ice. The mixture was filtered and the solid was washed three times with 200 ml methylene chloride and water. The filtrate was separated and the water layer washed with methylene chloride. The methylene chloride layers were combined and shaken with 5% potassium carbonate solution. The resulting emulsion was broken up with the addition of acetone. A total of 4 carbonate washes of 270 ml each was used with acetone added with each extraction. The water layers were combined and stirred in an ice bath as conc. HCl was added

until the pH was approximately 2. The white solid product was filtered off the next day, washed with water and air dried to give 50 g after 50°C vacuum oven drying.

5      Preparation of 10-(2'-nitro-4',5'-dimethoxy-phenyl)-decanoic-10-one acid

25 g of 10-(3',4-dimethoxyphenyl)-decanoic-10-one acid was added over 45 min to 175 ml conc. nitric acid at 10-15°C. The product started precipitating out after 80% addition. The addition of 20 ml conc. nitric acid did not give a solution. The reaction mixture was stirred 20 min with a temperature of 18°C reached, and was poured into 680 ml ice water, filtered, and the solid washed until the filtrate was neutral. The solid was stirred in 1.3 l boiling water 2 times and collected by filtering while the mixture was hot. The solid was vacuum oven-dried at 50°C to yield 19 g, 66% yield.

15      Preparation of 10-(2'-nitro-4',5'-dimethoxyphenyl)-10-hydroxy-decanoic acid

20      To a rapidly stirred solution of 8.33 g potassium carbonate 1.5 hydrate in 330 ml water was added 18.5 g of the nitrophenyl ketone. 2.5 g of potassium carbonate was added to make a clear solution. A solution of 2.36 g sodium borohydride in 25 50 ml water was added over 5 min. The mixture was heated to 45° for one hour, cooled to room temperature over 2 hours, and shaken with 200 ml ether. The layers were separated and the water layer stirred as a solution of 8% aqueous HCl was added 30 until the solution was a pH of 2-3. An orange solid was collected by filtration, blended in ice water, filtered, washed with water and vacuum oven dried at 60°C to yield 18 g, 95% yield.

## Example 3

Preparation of 6-(3',4'-dimethoxy-phenyl)-hexanoic-6-one acid

The same procedure was followed as for the  
5 decanoic acid derivative except no acetone was  
required to separate the layers. 87 g veratrole and  
87 polyadipic anhydride gave 44 g of product.

Preparation of 6-(2'-nitro-4'-5'-dimethoxyphenyl)-hexanoic-6-one acid

10 The same procedure was followed as for the  
decanoic acid derivative. The product stays in  
solution in the nitric acid for this material. 20 g  
of starting material gave 16 g, 69% yield.

Preparation of 10-(2'-nitro-4',5'-dimethoxy-phenyl)-6-hydroxyhexanoic acid

15 The same procedure was used as for the  
decanoic acid derivative. 10 g of nitrophenyl ketone  
gave 6 g of product.

## Example 4

Preparation of 2-methoxy-4-formyl  
20 phenoxyacetic acid

A solution of 80 g sodium hydroxide in 200  
ml water was added to a mixture of 152 g of vanillin,  
95 g chloroacetic acid, and 800 ml water with  
stirring. The mixture was heated to reflux 65 hours,  
25 cooled to room temperature, acidified with 70 ml  
conc. HCl, and the product collected by filtration.  
The solid was washed with 2 l of distilled water and  
dried to give 120 g, 57% yield.

Preparation of 3-nitro-4-formyl-6-  
methoxyphenoxyacetic acid

30 10 g of the phenoxyacetic acid was added to  
a mixture of 200 ml glacial acetic acid and 50 ml  
fuming nitric acid and the reaction mixture stirred  
at RT for 18 hours. The mixture was filtered and the  
filtrate was poured onto a mixture of 300 g ice and  
35 200 ml water. The solid was collected by filtration,

washed with cold water and dried in a vacuum oven to yield 6 g, 49% yield.

### Examples 5-8

#### Litho Plate Application

- 5 Coating solutions were made up of the compositions given in Tables I and II. The solutions were coated onto 0.001 inch (0.0025 cm) polyethylene terephthalate film sheet, air dried, and laminated onto moistened anodized aluminum. To modulate exposure a  $\frac{3}{2}$  stepwedge target was placed over the film which was then contacted in a vacuum frame. Exposures were made with a 2000 watt mercury photopolymer Addalux lamp at a distance of 38 inches (96.5 cm) using a Berkey "Ascor" 1601-40 light source. The first inhibitor-forming UV exposure was made with the full spectral output of the source. A part of the plate was kept from exposure with a piece of black polyethylene.
- 10 After the first exposure the  $\frac{3}{2}$  stepwedge was moved to the unexposed area hidden from light during the UV exposure and a UV filter placed over the whole plate and given a visible, polymerization exposure. The plate was developed in a solution of 84 g potassium carbonate 1.5 hydrate, 5 g potassium bicarbonate, and 1536 g distilled water at 20°C for 15 sec, followed by a 40°C, 40 psi (2.81 kg/sq cm) spray water rinse. The aluminum in the washout areas was cleanly uncovered and did not accept ink. In the portion of the plate receiving only a single imaging exposure to radiation substantially limited to wavelengths greater than about 380 nm, the unexposed areas were washed away to produce a reverse or negative image of the stepwedge. The inked plate was useful as an offset litho plate and the examples demonstrate that both positive and negative images
- 15  
20  
25  
30  
35

can be obtained on the same plate depending on exposure conditions. The UV filter was prepared from a solution of 720 g of cellulose acetate butyrate, 320 g ethyl Cellosolve, and 80 g of a UV absorber, 2,2'-dihydroxy-4-methoxybenzophenone, which was coated on resin-subbed polyethylene terephthalate film to a thickness of 0.00095 inch (0.024 mm).

Table I  
Stock Solution

10	<u>Component</u>	
	Methylene chloride	865
	Methanol	36
	Terpolymer of ethyl acrylate (71), methyl methacrylate (17), acrylic acid (12); MW <sub>w</sub> = 150 M	3
15	Pentapolymer of octylacrylamide (40), methyl methacrylate (30), acrylic acid (16), hydroxypropyl methacrylate (6) and t-butylaminoethyl methacrylate (4); acid #118, MW <sub>w</sub> = 50 M, Tg ~ 120°C	61
20	Trimethylolpropanetriacrylate	14
	Triethyleneglycol dimethacrylate	14
	3[(1-ethyl-1,2,3,4-tetrahydro-6-quinolinyl)- methylene]-4-chromanone	0.5
25	bis[2-g-chlorophenyl-4,5-diphenyl]imidazole	3
	1,4,4-trimethyl-2,3-diazabicyclo[3.2.2]non- 2-ene-N,N'-dioxide	0.05
	Victoria green dye	0.05
30	Leuco crystal violet	0.5

Table II

Ex. <sup>(1)</sup>	Photo-Inhibitor	Exposures (units)		$\sqrt[3]{2}$ Stepwedge + <sup>(5)</sup>	Image - <sup>(6)</sup>
		UV <sup>(3)</sup>	Visible <sup>(4)</sup>		
5	5(2)	1	50	3	14
	6	2	50	1	14
	7	3	50	4	14
10	8	4	100	5	12

- 1 0.18 g photoinhibitor of Examples 5-8 added to 51.56 g aliquots of the stock solution of Table I.
- 15 2 Coating weight 62 mg/dm<sup>2</sup>.
- 3 Full spectrum exposure forms inhibitor.
- 4 Polymerization, visible exposure
- 5 # unpolymerized, completely washed out steps.
- 20 6 # polymerized steps from single, polymerization (visible) exposure.  
(The number of polymerized steps gives a relative comparison of the photopolymerization speed in the presence of the acidic o-nitroaromatic compound).

25

## Example 9

Litho Film Application

Black and clear coating solutions were made up of aqueous and organic parts with compositions given in Tables III and IV. The two parts of each coating solution were combined and emulsified in a Waring blender for 5 minutes at 70 volts. Afterwards 3.6 ml of a 5% aqueous solution of fluorocarbon surfactant

$$(C_8F_{17}-SO_2-\overset{\overset{C_2H_5}{|}}{N}-CH_2-\overset{\overset{O}{||}}{C}-O)K^+$$

was added. The black layer emulsion was coated on resin-subbed



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**